



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/827,360	04/04/2001	Richard K. Tam	M-9127 US

CONFIRMATION NO. 3920

## FORMALITIES LETTER



\*OC000000006091271\*

Omkar K. Suryadevara  
SKJERVEN MORRILL MacPHERSON LLP  
Suite 700  
25 Metro Drive  
San Jose, CA 95110-1349

Date Mailed: 05/18/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$1112.
  - \$792 for 44 total claims over 20.
  - \$320 for 4 independent claims over 3 .
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1952.

*A copy of this notice MUST be returned with the reply.*

Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

06/04/2001 HVJ0651 00000059 192366 09827360

01 FC:101	710.00 CH
02 FC:105	130.00 CH
03 FC:102	320.00 CH
04 FC:103	792.00 CH

H-A

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✓  
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Richard K. Tam and Mark A. Resmer  
Assignee: iUniverse.com, Inc.  
Title: E-commerce Using An Identifier  
Serial No.: 09/827,360 Filing Date: April 4, 2001  
Examiner: Unknown Group Art Unit: Unknown  
Docket No.: M-9127 US

San Jose, California  
May 29, 2001

BOX MISSING PARTS  
COMMISSIONER FOR PATENTS  
Washington, D.C. 20231

**RESPONSE TO NOTICE TO FILE MISSING PARTS OF**  
**NONPROVISIONAL APPLICATION**  
**FILED UNDER 37 CFR 1.53(b)**  
***Filing Date Granted***

Dear Sir:

In response to the "Notice to File Missing Parts of Nonprovisional Application" mailed by the United States Patent and Trademark Office on May 18, 2001, the following documents are enclosed to complete the filing of the above-identified patent application:

1. A declaration signed by the inventors in compliance with 37 CFR 1.63; and
2. Copy of Notice to File Missing Parts of Nonprovisional Application.

LAW OFFICES OF  
SKJERVEN MORRILL  
MacPHERSON LLP

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The United States Patent and Trademark Office is hereby authorized to charge the following fees to Deposit Account No. 19-2386:

- |    |   |            |
|----|---|------------|
| 1. | Surcharge for filing declaration on a date later than the filing date of the application. | \$130.00   |
| 2. | Statutory basic filing fee.   | \$710.00   |
| 3. | 44 extra claims at \$18.00 each   | \$792.00   |
| 4. | 4 extra independent claims at \$80 each   | \$320.00   |
| 5. | TOTAL FEES:   | \$1,952.00 |

The Commissioner is hereby authorized to charge any additional fees, which may be required, or credit any overpayment to Deposit Account No. 19-2386.


It is hereby respectfully submitted that the enclosed documents complete the filing of the above-identified patent application and justify the filing date of April 4, 2001. Please telephone the undersigned at (408) 453-9200, if there are any questions. This form is being submitted in duplicate.

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to: Box Missing Parts, Commissioner for Patents, Washington, D.C. 20231, on May 29, 2001.

  
\_\_\_\_\_  
Attorney for Applicants

5/29/2001  
\_\_\_\_\_  
Date of Signature

Respectfully submitted,

  
David C. Hsia  
Attorney for Applicants  
Reg. No. 46,235

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